

Please type a plus sign inside this box --> ☐

GP 273#2  
V. Harris  
9/25/98

PTO/SB/21 (12/97)

Approved for use through 09/30/00 OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	<b>Application Number</b>		09/059,533	
	<b>Filing Date</b>		April 13, 1998 —	
	<b>First Named Inventor</b>		Jerrold V. Hauck —	
	<b>Group Art Unit</b>		2731 —	
	<b>Examiner Name</b>		Unassigned —	
<b>TOTAL NUMBER OF PAGES IN THIS SUBMISSION</b>		77	<b>Attorney Docket Number</b>	042390.P5379

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers	<input type="checkbox"/> After Allowance Communication Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawings	<input type="checkbox"/> Appeal Communication to Board of Appeals & Interferences
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) & Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition Checklist & Accompanying Petition	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> To Convert a Provisional Application	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	Return Receipt Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	Copies of References (3)
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Small Entity Request	58 SEP 21 PM 2:15 GROUP 2700 RECEIVED
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Request for Refund	
<b>Remarks</b>		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT


<b>Firm or Individual Name</b>	Thomas M. Coester, Esq.
<b>Signature</b>	<i>Thomas Coester</i>
<b>Date</b>	September 14, 1998

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:		September 14, 1998	
<b>Typed or Printed Name</b>	Kelly Reeves		
<b>Signature</b>	<i>Kelly Reeves</i>	<b>Date</b>	September 14, 1998

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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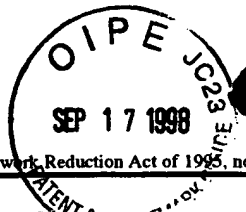
<b>TRANSMITTAL FORM</b>  TOTAL NUMBER OF PAGES IN THIS SUBMISSION		<b>Application Number</b>	09/059,533
		<b>Filing Date</b>	April 13, 1998
		<b>First Named Inventor</b>	Jerrold V. Hauck
		<b>Group Art Unit</b>	2731
		<b>Examiner Name</b>	Unassigned
		<b>Attorney Docket Number</b>	042390.P5379

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <input type="checkbox"/> Drawings <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) & Accompanying Petition <input type="checkbox"/> Petition Checklist & Accompanying Petition To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Request <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication Group <input type="checkbox"/> Appeal Communication to Board of Appeals & Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <input type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Request for Correction of Filing Receipt <input type="checkbox"/> Copy of official Filing Receipt
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	Thomas M. Coester
Signature	<i>Thomas Coester</i>
Date	September 14, 1998

98 SEP 23 AM 7:22  
GROUP 2700  
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Typed or Printed Name	Kelly Reeves		
Signature	<i>Kelly Reeves</i>	Date	September 14, 1998



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# FEE TRANSMITTAL

Note: Effective October 1, 1997  
Patent fees are subject to annual revision

## Complete If Known

Application Number	09/059,533
Filing Date	April 13, 1998
First Named Inventor	Jerrold V. Hauck
Group Art Unit	2731
Examiner Name	Unassigned
Attorney Docket No.	042390.P5379

TOTAL AMOUNT OF PAYMENT (\$)**0.00**

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees & credit any overpayments to:

Acct # 02-2666

Acct Name Blakely Sokoloff Taylor & Zafman

- ☒ Charge any add'l fee required under 37 CFR 1.16 & 1.17 ☐ Charge issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## Fee Calculation

### 1. Filing Fee

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	790	201	395	Utility filing fee	
106	330	206	165	Design filing fee	
107	540	207	270	Plant filing fee	
108	790	208	395	Reissue filing fee	
114	150	214	75	Provisional filing fee	
Subtotal (1)					(\$) <b>0.00</b>

### 2. Claims

	*	Extra	Fee from Below	Fee Paid
Total Claims		20 -	X	
Ind. Claims		3 -	X	
Multiple Dependent Claims				

Large Entity		Small Entity		Fee Description
Code	Fee (\$)	Code	Fee (\$)	
103	22	203	11	Claims in excess of 20
102	82	202	41	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	82	209	41	Reissue independent claims over original patent
110	22	210	11	Reissue claims in excess of 20 & over original patent
Subtotal				(2) (\$) <b>0.00</b>

## FEE CALCULATION (continued)

### 3 Additional Fees

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within 1st month	
116	400	216	200	Extension for reply within 2nd month	
117	950	217	475	Extension for reply within 3rd month	
118	1510	218	755	Extension for reply within 4th month	
128	2060	228	1030	Extension for reply within 5th month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of appeal	
121	270	221	135	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to Revive-unavoidable	
141	1320	241	660	Petition to Revive-unintentional	
142	1320	242	660	Utility issue fee (or reissue)	
143	450	243	225	Design issue fee	
144	670	244	335	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of IDS	
581	40	581	40	Recording each patent assignment per property	
146	790	246	395	Filing a submission after final rejection	
149	790	249	395	For each add'l invention to be examined	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

Subtotal (3) (\$)**0.00**

## SUBMITTED BY

Name Thomas M. Coester  
Signature *Thomas Coester*

Date 9/14/98

## COMPLETE (if applicable)

Reg. Number 39,637  
Deposit Acct User ID

\*Highest number of claims previously paid for if an amendment is being transmitted.

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Docket No.: 042390.P5379

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Jerrold V. Hauck and David W. LaFollette

Serial No.: 09/059,533

Filed: April 13, 1998

For: EARLY ACKNOWLEDGMENT OF PRIMARY  
PACKETS

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

We have received the official Filing Receipt (copy enclosed) for the above-identified application. Upon our review, we have discovered that one of the Applicants' names is misspelled.

The Filing Receipt reads as follows:

"Applicant(s)

Jerrold V. Hauck, Fremont, CA; David W. LaFollette, Sunnyvale,  
CA.

The Applicants' names should be corrected to read as follows:

"Applicant(s)

Jerrold V. Hauck, Fremont, CA; David W. LaFollette, Sunnyvale,  
CA.

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SEP 23 AM 7:21  
GROUP 2700

Please correct your records to reflect the corrected Applicants' names, and send us a corrected Filing Receipt.

If there are any questions regarding this application, please contact the undersigned.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date 9/12/98

By: Thomas Coester  
Thomas M. Coester  
Reg. No. 39,637

Enclosure  
TMC/kkr

12400 Wilshire Blvd., 7th Floor  
Los Angeles, CA 90025  
(310) 207-3800

**CERTIFICATE OF MAILING**

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Kelly Reeves 9/14/98  
Kelly Reeves Date

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/059,533	04/13/98	2731	\$872.00	042390.P5379	5	11	4

THOMAS M COESTER  
BLAKEY SOKOLOFF TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD 7TH FLOOR  
LOS ANGELES CA 90025

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MAY 11 1998

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

*Jerrold*

~~JERROLD~~ V. HAUCK, FREMONT, CA; DAVID W. LAFOLLETTE,  
SUNNYVALE, CA.

FOREIGN FILING LICENSE GRANTED 05/06/98  
TITLE

EARLY ACKNOWLEDGMENT OF PRIMARY PACKETS

PRELIMINARY CLASS: 370

ENTERED

MAY 11 1998

STATUS DB-LA



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MAY 12 1998

B.S.T.Z. DATABASE DEPT.